



11/18/99

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# Wisner & Associates

INTELLECTUAL PROPERTY LAW

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November 18, 1999

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File No. SCHC,002



Box Patent Application  
The Honorable Commissioner  
of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: Warren F. Schmalenberger

For: CAPITAL MARKET INDEX



Enclosed are:

☒ Application:

☒ Cover Sheet (1 page)

☒ Specification (21 pages)

☒ Claims: (5 pages)

Total Claims 25 Indep. Claims 3

☒ Abstract: (1 page)

☒ Declaration and Power of Attorney

☒ Eleven (11) Sheet of Drawing(s)

Formal ☐ Informal ☒

☒ Verified Statement of Small Entity Status - Independent Inventor

☒ Verified Statement of Small Entity Status - Small Business

☒ Check #1135 as calculated below, in the amount of \$425.00.

FOR	CLAIMS ALLOWED UNDER BASIC FEE	TOTAL CLAIMS FILED	EXTRA CLAIMS FILED	RATE	BASIC FILING FEE \$760/\$380
Total Claims	20	25	5	\$18 / \$9	\$ 45.00
Independent Claims	3	3	- 0 -	\$78/\$39	\$ - 0 -
TOTAL FEE DUE:					\$ 425.00

# Wisner & Associates

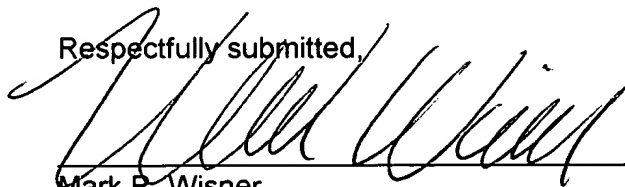
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Page Two

- ☒ Please note the reduced fee for small entity status.  
☐ The above-referenced check also includes an additional \$40.00 for the Assignment recording fee.  
☒ Postcard as indication of your receipt of the above.  
☐ Other: \_\_\_\_\_

☒ Applicant hereby claims the benefit of the earlier filing date of Provisional Application No. 60/109,410, filed November 20, 1998.

- ☒ The Commissioner of Patents is hereby authorized to draw on the Deposit Account of Wisner & Associates, Account No. 50-0965 (SCHC,002), if there are fees associated with this filing and/or if any required fees enclosed are insufficient, the check is unsigned, or if fees are inadvertently not enclosed.
- ☐ After recording, return the Assignment to the undersigned.
- ☒ Please return the enclosed postcard, properly date stamped, to the undersigned upon receipt of the enclosed.
- ☒ I hereby certify that this application transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this 18<sup>th</sup> day of November, 1999, in an envelope designated "Express Mail Post Office to Addressee," Mailing Label EK174108651US, addressed to Box Patent Application, The Honorable Commissioner of Patents and Trademarks, Washington, DC 20231.

Respectfully submitted,



Mark R. Wisner  
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APPLICATION FOR UNITED STATES LETTERS PATENT

Entitled

**CAPITAL MARKET INDEX**

Applicant:

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**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Express Mail Number: EK174108651US  
Date of Deposit: November 18, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Box Patent Application, The Honorable Commissioner of Patent and Trademarks, Washington, D.C. 20231.

  
Mark R. Wisner, Registration No. 30,603

November 18, 1999  
Date of Signature